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PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Number	014033-000014
		First Named Inventor	Jennifer B. Owens
COMPLETE IF KNOWN			
<input checked="" type="checkbox"/> Declaration Submitted OR with Initial Filing	Application Number		
	Filing Date		
	Group Art Unit		
	Examiner Name		

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SYSTEM AND METHOD FOR OPERATIONAL RISK ASSESSMENT AND CONTROL

(Title of the Invention)

the specification of which

is attached hereto

OR

was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by and amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	Certified Copy Attached? NO
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02/B attached hereto:

[Page 1 of 2]

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DECLARATION – Utility or Design Patent Application

Direct all correspondence to: Customer Number **24,239** OR Correspondence address below
Or Bar Code Label

Name **Moore & Van Allen PLLC**

Address **2200 West Main Street, Suite 800**

City **Durham** State **NC** ZIP **27705**

Country **US** Telephone **(919) 286-8000** Fax **(919) 286-8199**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) **Jennifer B.** Family Name
Or Surname **Owens**

Inventor's Signature  Date **Oct. 1, 2003**

Residence: City **Charlotte** State **NC** Country **US** Citizenship **US**

Mailing Address **501 McDonald Avenue**

City **Charlotte** State **NC** ZIP **28203** Country **US**

NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor

Given Name
(first and middle [if any]) **Jacob** Family Name
Or Surname **Firestone**

Inventor's Signature Date

Residence: City **Martinez** State **CA** Country **US** Citizenship **US**

Mailing Address **204 Augustine Drive**

City **Martinez** State **CA** ZIP **94534** Country **US**

Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02S attached hereto

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DECLARATION – Utility or Design Patent Application

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Or Bar Code Label

Name **Moore & Van Allen PLLC**

Address **2200 West Main Street, Suite 800**

City Durham	State NC	ZIP 27705
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Country US	Telephone (919) 286-8000	Fax (919) 286-8199
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Jennifer B.	Family Name Or Surname Owens
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Inventor's Signature	Date
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Residence: City Charlotte	State NC	Country US	Citizenship US
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Mailing Address **501 McDonald Avenue**

City Charlotte	State NC	ZIP 28203	Country US
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NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Jacob	Family Name Or Surname Firestone
--------------------------------------------------------	--------------------------------------------

Inventor's Signature	Date
-------------------------	------

Residence: City Martinez	State CA	Country US	Citizenship US
---------------------------------	-----------------	-------------------	-----------------------

Mailing Address **204 Augustine Drive**

City Martinez	State CA	ZIP 94534	Country US
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Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02S attached hereto

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DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 1 of 2

Name of Additional Joint Inventor, If any: A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Family Name or Surname

Edward T.

Hawthorne

Inventor's
Signature

Date 10/1/03

Residence: City Fairfield

State CA

Country US

Citizenship US

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City Fairfield

State CA

ZIP 94534

Country US

Name of Additional Joint Inventor, If any: A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Family Name or Surname

Joseph L.

Valasquez

Inventor's
Signature

Date

Residence: City Charlotte

State NC

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Mailing Address

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State NC

ZIP 28277

Country US

Name of Additional Joint Inventor, If any: A petition has been filed for this unsigned inventor

Given Name (first and middle [if any]) Family Name or Surname

David

Hadd

Inventor's
Signature

Date

Residence: City Charlotte

State NC

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Citizenship US

Mailing Address 10131 Whitehorn Drive

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City Charlotte

State NC

ZIP 28277

Country US

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 2	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Edward T.		Hawthorne	
Inventor's Signature		Date	
Residence: City Fairfield	State CA	Country US	Citizenship US
Mailing Address 2765 Silver Fox Circle			
Mailing Address			
City Fairfield	State CA	ZIP 94534	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Joseph L.		Valasquez	
Inventor's Signature			Date 10/01/03
Residence: City Charlotte	State NC	Country US	Citizenship US
Mailing Address 10802 Lederer Avenue			
Mailing Address			
City Charlotte	State NC	ZIP 28277	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
David		Hadd	
Inventor's Signature			Date OCT 1, 2003
Residence: City Charlotte	State NC	Country US	Citizenship US
Mailing Address WHITETHORN <i>OK</i>			
Mailing Address 10131-Whitehorn Drive			
Mailing Address			
City Charlotte	State NC	ZIP 28277	Country US

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet Page 2 of 2	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Bradley A.		Yee	
Inventor's Signature 		Date 10/11/03	
Residence: City South SanFrancisco	State CA	Country US	Citizenship US
Mailing Address 28 Cymbidium Circle			
Mailing Address			
City South SanFrancisco	State CA	ZIP 94080	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State NC	Citizenship	
Mailing Address			
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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